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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,052	04/06/2001	Geetha Srikantan	SUN-P4965-MDL

CONFIRMATION NO. 5768

22200
PARK, VAUGHAN & FLEMING LLP
702 MARSHALL STREET
SUITE 310
REDWOOD CITY, CA 94063

FORMALITIES LETTER



OC00000006107778

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

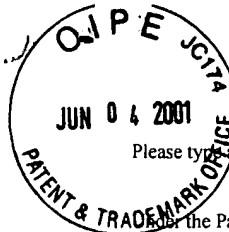
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2001 HMDHAMM1 00000076 09828052

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JUN 04 2001

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PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in this Submission:

Application No. 09/282,052

Filing Date April 6, 2001

First Named Inventor Geetha Srikantan

Group Art Unit 2152

Examiner Name Unassigned

Attorney Docket No. SUN-P4965-MDL

ENCLOSURES (check all that apply)

- Fee Transmittal Form
 - Fee attached
- Amendment/Response
 - After Final
 - Affidavit/Declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts Notice/Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers for an application
- Drawing(s)
- Licensing-related Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- Petition to Convert to a Provisional Application
- Power of Attorney by Assignee, with Revocation of Former Powers
- Change of Correspondence Address
- Terminal Disclaimer
- Small Entity Statement
- Request for Refund

- After-Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Additional Enclosure(s):
 - Return Receipt Postcard
 - Check for \$ 170.00
 -

Remarks:

In response to the Notice to File Missing Parts mailed on May 23, 2001, enclosed are signed Declarations/Powers of Attorney by the inventors, assignments with recordation cover sheet, and a copy of the Notice.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Name	Daniel E. Vaughan (Registration No. 42,199)	Date	June 1, 2001
Signature		Telephone	650/474-1973
Address	702 Marshall Street, Suite 310, Redwood City, CA 94063	Facsimile	650/474-1976

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as Express Mail (No. EL xxx yyy zzz US) or

First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: June 1, 2001

Type or Printed Name	Daniel E. Vaughan	Signature	
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT **(\$)** **170**

Complete if Known

Application Number	09/828,052
Filing Date	April 6, 2001
First Named Inventor	Geetha Srikantan, et al.
Examiner Name	
Group Art Unit	2152
Attorney Docket No.	SUN-P4965-MDL

METHOD OF PAYMENT (check one)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:					
Deposit Account Number	50-1801				
Deposit Account Name	Park, Vaughan & Fleming LLP				
<input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other Order					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Fee Code (\$)	Fee Code (\$)	Fee	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)				(\$)	0
2. EXTRA CLAIM FEES					
Total Claims	Extra Claims	Fee from below		Fee Paid	
Independent Claims	-20**	=	X	=	
Multiple Dependent	-3 **	=	X	=	
** or number previously paid, if greater; For Reissues, see below					
Large Entity	Fee Code (\$)	Fee Code (\$)	Fee	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	0

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee	Fee Description	Fee Paid
105	130	205	65		Surcharge – late filing fee or oath	130
127	50	227	25		Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130		Non-English specification	
147	2520	147	2520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1390	218	695		Extension for reply within fourth month	
128	1890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1510	138	1510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive – unavoidable	
141	1240	241	620		Petition to revive – unintentional	
142	1240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Petitions related to provisional applications	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	40
146	710	246	355		Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	
Other fee (specify) _____						
SUBTOTAL (3)				(\$)	170	
* Reduced by Basic Filing Fee Paid						

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650/474-1973
Signature	<i>Daniel E. Vaughan</i>			Date	June 1, 2001

WARNING:

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